

PATENTS

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Docket No.: 93418.000047P

Applicant: Treffers, Menno Anne

Confirmation: 1920

Application No. 09/930,654

Examiner: Popham, Jeffrey D.

Filed: August 15, 2001

Art Unit: 2137

Title: METHOD AND DEVICE FOR CONTROLLING DISTRIBUTION AND USE OF
DIGITAL WORKS

Mail Stop Amendment
Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

INFORMATION DISCLOSURE STATEMENT
UNDER 37 C.F.R. §1.97(d)

Sir:

To comply with the duty of disclosure, and in accordance with 37 C.F.R. §§ 1.97 and 1.98, Applicant directs the Examiner's attention to the documents listed on the attached Form PTO/SB/08a.

The listed foreign patent document was cited in an Office Action issued on May 21, 2007, in corresponding Korean Patent Application No. 2002-7004792. The patent was cited in combination with European Patent No. 1 020 856 for rendering unpatentable claims pending in the Korean application. The European Patent is not listed, because it already has been made of record in this application. A copy of the cited document is being submitted herewith.

The listed U.S. patent is being cited because it and the cited Korean patent claim priority to the same Japanese patent application.

In compliance with 37 CFR § 1.97(e), it is hereby certified that the cited Korean patent document was first cited in the office action issued in the corresponding Korean patent application not more than three months prior to the filing of this information disclosure

statement. It also is hereby certified that the cited U.S. patent was not cited in a communication from a foreign patent office in a counterpart foreign application, and, to the knowledge of the person signing the certification after making reasonable inquiry, was not known to any individual designated in 37 CFR 1.56(c) more than three months prior to the filing of this information disclosure statement.

Applicant respectfully requests that the Examiner consider the listed information and return with the next Office communication an initialed copy of the Form PTO/SB/08a indicating such consideration.

Applicant is authorizing payment of the fee under 37 C.F.R. § 1.17(p) together with the electronic filing of this paper. The Commissioner is hereby authorized to charge any deficiencies in the fee paid, or credit any overpayments, to Deposit Account No. 03-3875.

Dated: August 21, 2007

Respectfully submitted,

A handwritten signature in black ink, appearing to read "Michael J. Didas", is written over a horizontal line.

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INFORMATION DISCLOSURE STATEMENT BY APPLICANT (Not for submission under 37 CFR 1.99)

Application Number	09930654
Filing Date	2001-08-15
First Named Inventor	Treffers, Menno Anne
Art Unit	2137
Examiner Name	Popham, Jeffrey D.
Attorney Docket Number	93418.000047P

U.S. PATENTS

Examiner Initial*	Cite No	Patent Number	Kind Code ¹	Issue Date	Name of Patentee or Applicant of cited Document	Pages, Columns, Lines where Relevant Passages or Relevant Figures Appear
	1	6343281	B1	2002-01-29	Takehisa Kato	

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U.S. PATENT APPLICATION PUBLICATIONS

Examiner Initial*	Cite No	Publication Number	Kind Code ¹	Publication Date	Name of Patentee or Applicant of cited Document	Pages, Columns, Lines where Relevant Passages or Relevant Figures Appear
	1					

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FOREIGN PATENT DOCUMENTS

Examiner Initial*	Cite No	Foreign Document Number ³	Country Code ² j	Kind Code ⁴	Publication Date	Name of Patentee or Applicant of cited Document	Pages, Columns, Lines where Relevant Passages or Relevant Figures Appear	T ⁵
	1	1999-013756	KR	A	1999-02-25	Kabushiki Kaisha Toshiba		<input type="checkbox"/>
								<input type="checkbox"/>
								<input type="checkbox"/>

**INFORMATION DISCLOSURE
STATEMENT BY APPLICANT**
(Not for submission under 37 CFR 1.99)

Application Number	09930654
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Art Unit	2137
Examiner Name	Popham, Jeffrey D.
Attorney Docket Number	93418.000047P

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NON-PATENT LITERATURE DOCUMENTS

Examiner Initials*	Cite No	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc), date, pages(s), volume-issue number(s), publisher, city and/or country where published.	T ⁵
	1	Korean Office Action issued May 22, 2007 in corresponding Korean Application No. 2002-7004792 (with translation of Notice of Ground For Rejection)	<input type="checkbox"/>

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EXAMINER SIGNATURE

Examiner Signature	Date Considered
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¹ See Kind Codes of USPTO Patent Documents at www.USPTO.GOV or MPEP 901.04. ² Enter office that issued the document, by the two-letter code (WIPO Standard ST.3). ³ For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. ⁴ Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST.16 if possible. ⁵ Applicant is to place a check mark here if English language translation is attached.